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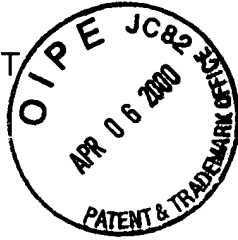
In re Patent Application of:

KARLHEINZ SCHMIDT

Serial No. 09/295,302

Filed: April 21, 1999

For: AGENT FOR THE  
MANUFACTURE OF  
BIOLOGICAL PARTS ...



Art Unit: 1615

Atty. Docket No. 109572.0101  
HC\pdc

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is the following

Small Entity Form - Independent Inventor

The Commissioner is hereby authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 23-2185 (109572-0101).

Respectfully submitted,

Date: April 6, 2000

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Attorney Docket No: 109572.00101

Inventor(s): Karlheinz Schmidt

Serial No. or Patent No. (if previously filed or issued): 09/295,302

Filed or Issued (date if previously filed or issued): April 21, 1999 or ☐ Filed Herewith

For (invention title): Agent for the Manufacture of Biological Parts Including an Active Ingredient Complex and Carrying Materials Suitable for the Active Ingredient Complex



Small Entity  
Independent Inventor

### SMALL ENTITY FORM - INDEPENDENT INVENTOR

(VERIFIED STATEMENT/DECLARATION CLAIMING SMALL ENTITY STATUS, 37 CFR 1.9(f) and 1.27(b))

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention described in

(check one) ☐ the specification filed herewith.  
☒ the application or patent identified above.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

(check one) ☒ no such person, concern, or organization.  
☐ persons, concerns or organizations listed below. (NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities, 37 CFR 1.27)

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

☐ If MORE THAN ONE, SEE ATTACHED CONTINUATION SHEET

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate; 37 CFR 1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Karlheinz Schmidt  
NAME OF INVENTOR #1

Karlheinz Schmidt  
Signature of Inventor #1

Jan 12, 2000  
Date

\_\_\_\_\_  
NAME OF INVENTOR #2

\_\_\_\_\_  
Signature of Inventor #2

\_\_\_\_\_  
Date

☐ If MORE THAN TWO INVENTORS, SEE ATTACHED CONTINUATION SHEET